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Approved for use through 10/31/2002. OMB 0651-0031

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7/31/2001

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/769,134
		Filing Date	01/25/2001
		First Named Inventor	Rathus, Spencer A.
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	3	Attorney Docket Number	660-015

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	07/19/2001 176ECKL01 00000026 09769134
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		04 PG1215 55.00 69

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

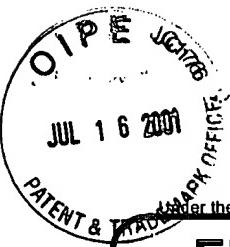
Firm or Individual name	Ward & Olivo/ John W. Olivo, Jr.	
Signature		
Date	7/13/01	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:  July 13, 2001

Typed or printed name	Edward Bakos
Signature	
Date	7/13/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)  
Approved for use through 10/31/2002, OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT	(\$ ) 1141.00
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#### Complete if Known

Application Number	09/769,134
Filing Date	01/25/2001
First Named Inventor	Rathus, Spencer A.
Examiner Name	
Group Art Unit	
Attorney Docket No.	660-015

METHOD OF PAYMENT					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:					
Deposit Account Number	23-0420				
Deposit Account Name	Ward & Olivo				
<input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17      ** DEFICIENCIES ONLY					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					
2. <input checked="" type="checkbox"/> Payment Enclosed:					
<input checked="" type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other		
FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description			
101 710	201 355	Utility filing fee			
106 320	206 160	Design filing fee			
107 490	207 245	Plant filing fee			
108 710	208 355	Reissue filing fee			
114 150	214 75	Provisional filing fee			
SUBTOTAL (1) (\$ ) 355.00					

2. EXTRA CLAIM FEES					
Total Claims	94	-20** =	74	X 9	= 666.00
Independent Claims	3	- 3** =	0	X _____	= _____
Multiple Dependent					
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description			
103 18	203 9	Claims in excess of 20			
102 80	202 40	Independent claims in excess of 3			
104 270	204 135	Multiple dependent claim, if not paid			
109 80	209 40	** Reissue independent claims over original patent			
110 18	210 9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2) (\$ ) 666.00					

\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65		Surcharge - late filing fee or oath	65.00
127 50	227	25		Surcharge - late provisional filing fee or cover sheet	
139 130	139	130		Non-English specification	
147 2,520	147	2,520		For filing a request for ex parte reexamination	
112 920*	112	920*		Requesting publication of SIR prior to Examiner action	
113 1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115 110	215	55		Extension for reply within first month	55.00
116 390	216	195		Extension for reply within second month	
117 890	217	445		Extension for reply within third month	
118 1,390	218	695		Extension for reply within fourth month	
128 1,890	228	945		Extension for reply within fifth month	
119 310	219	155		Notice of Appeal	
120 310	220	155		Filing a brief in support of an appeal	
121 270	221	135		Request for oral hearing	
138 1,510	138	1,510		Petition to institute a public use proceeding	
140 110	240	55		Petition to revive - unavoidable	
141 1,240	241	620		Petition to revive - unintentional	
142 1,240	242	620		Utility issue fee (or reissue)	
143 440	243	220		Design issue fee	
144 600	244	300		Plant issue fee	
122 130	122	130		Petitions to the Commissioner	
123 50	123	50		Processing fee under 37 CFR 1.17(q)	
126 180	126	180		Submission of Information Disclosure Stmt	
581 40	581	40		Recording each patent assignment per property (times number of properties)	
146 710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249	355		For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279	355		Request for Continued Examination (RCE)	
169 900	169	900		Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid      SUBTOTAL (3) (\$ ) 120.00					

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	John W. Olivo, Jr.	Registration No. (Attorney/Agent)	35,634	Telephone (908) 277-3333
Signature	<i>[Signature]</i>			
Date	7/13/01			

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20591-0001  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/769,134	01/25/2001	Spencer A. Rathus	660-015

CONFIRMATION NO. 8417

## FORMALITIES LETTER



\*OC00000000596693\*

Ward & Olivo  
382 Springfield Avenue  
Summit, NJ 07901

Date Mailed: 04/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$666.
  - **\$666 for 74 total claims over 20.**
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1086.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

07/15/2001 1426KLUX 00000006 03769134

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